



#18 CPA/2615/  
#18 request #  
for CPA  
1.530

**CONTINUED PROSECUTION APPLICATION (CPA)  
REQUEST TRANSMITTAL**

Submit an original, and a duplicate for fee processing  
(Only for Continuation or Divisional applications under 37 CFR 1.53(d))

☐ DUPLICATE

8/29/02  
fu  
EQ

Address to:  
**Assistant Commissioner for Patents  
Box CPA  
Washington, DC 20231**

Attorney Docket No. 0039-7513-2S  
First Inventor Hideo ANDO, et al.  
Group Art Unit 2615  
Examiner Nguyen Huy Thanh

This is a request for a ☒ continuation or ☐ divisional application under 37 CFR 1.53(d)  
(continued prosecution application (CPA)) of prior application number 09/482,085  
filed on January 13, 2000 entitled **DIGITAL VIDEO RECORDING SYSTEM AND ITS RECORDING MEDIUM**

1. ☐ Enter the unentered amendment previously filed under 37 CFR 1.116 in the prior nonprovisional application.
2. ☒ A preliminary amendment is enclosed.
3. ☐ This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53 (d)(4)
  - a. ☐ DELETE the following inventor(s) named in the prior nonprovisional application:
  - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
5. Information Disclosure Statement (IDS) is enclosed:
  - a. ☐ PTO-1449
  - b. ☐ Copies of IDS Citations

**RECEIVED**

**AUG 19 2002**

**Technology Center 2600**

CLAIMS	NUMBER FILED			NUMBER EXTRA	RATE	CALCULATIONS
TOTAL	25	MINUS	20	5	x \$18 =	\$90.00
INDEPENDENT	13	MINUS	3	10	x \$84 =	\$840.00
		<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS			+ \$280 =	\$0.00
				BASIC FEE		\$740.00
				TOTAL OF ABOVE CALCULATIONS		\$1,670.00
		<input type="checkbox"/> Reduction by 50% for filing by Small Entity				\$0.00
				TOTAL		\$1,670.00

**6. Small Entity Status:**

- ☐ Applicant claims small entity status. See 37 CFR 1.27.
7. ☒ The Commissioner is hereby authorized to charge fees under 37 CFR 1.16 and 1.17 for the papers being filed herewith and for which no check is enclosed, or credit any overpayment to Deposit Account No. 15-0030.  
☒ If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 CFR 1.136 for the necessary extension of time in the parent case. Any fees required for such an extension of time may be charged to Deposit Account No. 15-0030.
8. ☒ A check in the amount of **\$1,670.00** is enclosed.
9. ☐ Other:

08/15/2002 SSITHIB1 00000002 09482085

01 FC:131 740.00 OP  
02 FC:102 840.00 OP  
03 FC:103 90.00 OP



**22850**

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 03/02)  
I:\ATTY\RCG\0039\7513\0039-7513-AMD2.CPA.DOC

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Gregory J. Maier  
Registration No. 25,599

Raymond C. Glenny  
Registration No. 32,413



Commissioner for Patents  
Washington, DC 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/482,085	01/13/2000	2615	2270	0039-7513-2S	30	13	7

**CONFIRMATION NO. 6528**

22850  
OBLON, SPIVAK, MCCLELLAND, MAIER & NEUSTADT, P.C.  
FOURTH FLOOR  
1755 JEFFERSON DAVIS HIGHWAY  
ARLINGTON, VA 22202

**CORRECTED FILING RECEIPT**



\*OC000000009780503\*

Date Mailed: 04/04/2003

Receipt is acknowledged of a CPA in this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

HIDEO ANDO, HINO-SHI, JAPAN;  
KAZUYUKI UYAMA, KUMAGAYA-SHI, JAPAN;  
SHINICHI KIKUCHI, YOKOHAMA-SHI, JAPAN;

**Domestic Priority data as claimed by applicant**

**Foreign Applications**

JAPAN 11-007842 01/14/1999

**If Required, Foreign Filing License Granted: 02/17/2000**

**CPA filed on: 08/14/2002**

**Projected Publication Date: 07/17/2003**

**Non-Publication Request: No**

**Early Publication Request: No**

**Title**

## DIGITAL VIDEO RECORDING SYSTEM AND ITS RECORDING MEDIUM

## Preliminary Class

386

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

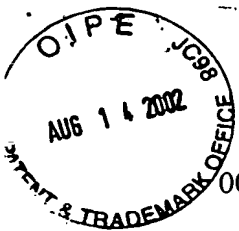
The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



0039-7513-2S

#9/C  
8/19/02  
fw

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF:

09/402,085

HIDEO ANDO ET AL.

: EXAMINER: H. Nguyen

SERIAL NO: NEW CPA APPLICATION :

CPA FILED: HERewith

: GROUP ART UNIT: 2615

FOR: DIGITAL VIDEO RECORDING SYSTEM  
AND ITS RECORDING MEDIUM

CPA PRELIMINARY AMENDMENT

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

RECEIVED

AUG 19 2002

Technology Center 2600

SIR:

In response to the Office Action dated May 21, 2002, please amend the above-identified application as follows:

IN THE CLAIMS

*Please amend the claims as shown in the Attachment, in which underlining and bracketing show the changes introduced into the previously-pending claims to arrive at the clean claims provided below:*

1. (Canceled)

2. (Canceled)

3. (Amended) An information medium comprising:

A) a lead-in area;

B) a lead-out area; and